AIS
American Investment Services, Inc.

Financial Appraisal Form

I am applying for *(check all that apply)*: Date ____________

☐ Professional Asset Management ☐ High-Yield Dow Service

General Information

Name ______________________________

Street or Box ______________________________

City ______________________ State ____________ Zip ________

Home Phone (____) _____-_______ Business Phone (____) _____-_______ Email ______________

Your D.O.B. ____/____ /_______ Spouse's D.O.B. ____/____ /_______

Your Occupation ____________________ Spouse's Occupation ____________________

Do you or your spouse face any serious health problems? ☐ No ☐ Yes *(If yes, please describe.)*

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Please list the ages of children or other dependents: ______________________________

Please provide a brief statement of your investment objectives: ______________________________

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Risk Tolerance *(Check one)*:

☐ Low. Safety of principal is my dominant concern.

☐ Moderate. Growth of capital and safety of principal are both important. I can tolerate average fluctuations in market values when investing for long-term growth.

☐ High. Growth of capital is my dominant concern. I can accept above-average fluctuations in market value to attain this goal.

TOTAL ANNUAL OUTLAYS ________________

TOTAL ANNUAL INCOME ________________

ANNUAL SAVINGS (+ OR –) ________________

Equals Annual Income less Annual Outlays

Additional Information ______________________________

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Assets and Liabilities Summary

Investment Portfolio

(Use this section for assets not listed on your attached brokerage statements)

Cash on Hand (including checking accounts) ______________

Savings Accounts ______________

Money Market Funds ______________

Bank Certificates of Deposit ______________

U.S. Treasury Bills ______________

Bullion and/or Coins ______________

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Cash Value Life Insurance/Annuities ______________

U.S. Treasury Notes or Bonds ______________

Other Bonds ______________

Preferred Stocks ______________

Common Stocks ______________

Mutual Funds ______________

TOTAL INVESTMENT PORTFOLIO ______________

Other Assets

Private Notes and Mortgages Held ______________

Value of Residence(s) ______________

Less: Mortgage Amt: ______________ ______________

Income Property ______________ ______________

Less: Mortgage Amt: ______________ ______________

Equity in Pvt. Business(es) ______________

Other Assets* ______________

Total Other Assets ______________

Debts Other than Mortgages

______________________________ ______________

______________________________ ______________

______________________________ ______________

______________________________ ______________

TOTAL NONMORTGAGE DEBT ______________

Please indicate what type of account(s) you plan to open *(Please check all that apply)*:

☐ Taxable Brokerage Account ☐ Tax-Advantaged Account ☐ Both

Preferred custodian (if any)

☐ TD Ameritrade Institutional
☐ Charles Schwab Institutional
☐ Fidelity Investments

Please indicate the amount in cash and/or securities you plan to deposit in the account:

$ ______________ (The combined minimum is $100,000.)

Please provide specific instructions or restrictions, if any, concerning management of your account(s):

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